

**PROTECTING CONSUMERS.**

**ENSURING MARKET EFFICIENCY AND INTEGRITY.**

The Vermont Department of Financial Regulation



**The Department  
regulates  
banking,  
insurance  
(including captive  
insurance) and  
securities in  
Vermont.**

**For Consumer Inquiries Related to:**

**BANKING  
DIVISION**

Banks, Credit Unions,  
Mortgage Brokers, Debt  
Adjusters, Money  
Services and Lenders

**802-828-3307**

**INSURANCE  
DIVISION**

Insurance Rates and  
Policy Reviews,  
License Verifications,  
Market Conduct

**802-828-3302**

**SECURITIES  
DIVISION**

Broker-Dealer /  
Investment Advisor  
Registration, Securities  
Review & Registration

**802-828-3420**

**[dfr.vermont.gov](http://dfr.vermont.gov)**

